The United Nations Convention against Corruption

and the

Implementation Review Mechanism
THE UNITED NATIONS
CONVENTION AGAINST
CORRUPTION
Negotiations Process – The Creation of the Convention


Terms of Reference – Intergovernmental Open-ended Expert Group Meeting

8 sessions of the Ad Hoc Committee held in Vienna with over 120 States participants

Adoption of the United Nations Convention against Corruption (A/RES/58/4)

High-level Political Signing Conference in Merida, Mexico

Convention Comes into force 14 December 2005
UNCAC Signature and Ratification Status as of 12 July 2012

161 State Parties – Nauru most recent State to accede
IMPLEMENTATION OF THE CONVENTION

TREATY BODIES AND THE REVIEW MECHANISM
The Conference of the States Parties

Promote, Facilitate & Review Implementation

Make recommendations

Facilitate Information Exchange

CoSP 1
Dec 2006
Jordan

CoSP 2
Jan/Feb 2008
Indonesia

CoSP 3
Nov. 2009
Qatar

CoSP 4
Oct. 2011
Morocco

CoSP 5
2013
Panama
The Implementation Review Mechanism – Main elements

- Peer review process
- Reviewing States drawn each year
- Two cycles of five years
  - First cycle: chapter III (Criminalization and law enforcement); and IV (International cooperation)
  - Second cycle: chapters II (Preventive measures); and V (Asset recovery)
- Outcome of review process: Report and Executive Summaries
Within three weeks
State party under review nominates focal point

Within one month
Secretariat organizes tele/videoconference to determine:
➢ Submission date of SA Report
➢ Language of review
➢ Division of labour
➢ Active dialogue

Within two months
State party under review submits response to the comprehensive self-assessment checklist

Within one month
Secretariat circulates response to reviewing experts, after translation where required

Within one month
Reviewing experts submit the outcome of the desk review

Within two months
Secretariat organizes tele/video conference for introduction of the outcome of the desk review

Within five months
Reviewing experts prepare draft country report assisted by the secretariat, state party under review agrees to final country report, executive summary is prepared

Within six months
End of review process
The Implementation Review Mechanism – Update

Progress of the reviews
- 157 States parties have now participated either as States under review or reviewing States.
- Executive Summaries completed for 21 States
- Year 3 review process began with drawing of lots at the second session IRG in June 2012

Challenges
- Delays in appointing focal points and experts
- Self-assessment checklist and software
- Language and translation
- Different legal systems
- Report drafting process and executive summaries

Guidance for Years 3 and 4
- Appoint focal points and experts early in the process
- Communicate early and often
- Develop a timeline with benchmarks
- Begin the Self-Assessment Checklist early on
- Conduct the desk review within the Blueprint format
- Prepare well for the Country Visit
For further information:

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