Involving actors in the fight against corruption
BRAZIL AND THE CGU
Federation:
Union; 26 States; the Federal District

5,564 Municipalities

Population of Brazil ≈ 190 million

Area: 8,514,877 km²

1.5 Million people working for the Federal Government
CGU is the internal audit unit and the anti-corruption agency of the Brazilian Federal Government:

- Prevention initiatives
- Transparency policies
- Ombudsman
- Audit actions (internal control)
- Disciplinary actions
Prevent  Detect  Discourage

CORRUPTION
INVOLVING THE ACTORS

Who is involved in corruption and the fight against corruption:

• Government(s)
• Civil servants / government employees
• Companies / organizations
• People
INVolVING THE ACTORS

How do we do it:

- Increasing transparency and fostering public oversight
- Promoting education for ethics and citizenship
- Establishing public-private cooperation
- Improving legal framework
- Financing studies and research on corruption
- Involving and supporting other public managers and agencies
- Participating in efforts of those actors
CHALLENGES

• Disseminate the idea that transparency is worth its inevitable political price.
• Ensure sound cooperation and proper relationships with other institutions and the media
• Strike the proper balance between preventive and repressive measures
• Stimulate social participation and public oversight
• Promote the engagement of the private sector
• Overcome deep-seated legal interpretations of the law that hinder anti-corruption actions, such as bank secrecy, etc.
• Reduce impunity and improve the judiciary system
INITIATIVES
Involving citizens
TRANSPARENCY PORTAL

What it is

A website that provides information about:

- Public spending
- Revenue
- Agreements
- Civil servants

- Offers an array of filters
- Easy to find; easy to understand
- Also available in open data

Model adopted in all levels of governments, the judiciary and legislative

How it helps

Provides transparency on public spending and revenues

- Empowers people
- Encourages better management
- Improves public trust

300 000 visits per month (over 3 million pageviews per month)
**CONSULTAS**
- Despesas
- Receitas
- Convênios
- Empresas Sancionadas
- Entidades Impedidas
- Servidores

**GRÁFICOS E DOWNLOADS**
- Download de Consultas
- Portal em Gráficos

**INFORMAÇÕES**
- Sobre o Portal
- Dados do Portal
- Aprenda Mais
- Noticias
- Controle Social
- Licitações e Contratos

**CONSULTAS TEMÁTICAS**

**Transferências de Recursos**

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**Gastos Diretos do Governo**

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**Conheça a previsão de investimentos e a fonte dos recursos que serão aplicados na Copa 2014 e nos Jogos Olímpicos e Paralímpicos 2016**

**Transparência nos Estados e Municípios**

**Enquete**

O que você faz quando encontra um dado "suspeito" no Portal da Transparência?

**NOTÍCIAS**

- 20/12 - Representante da CGU destaca parceria da Lei de Acesso a Informações sem seminário promovido pelo Ministério da Agricultura
- 19/12 - Governo Federal realiza 1º Reunião do Comitê Internasional para Gestão Aberta
- 16/12 - Cidadão apresenta Lei de Acesso à Informação para diretoria da ANI
- 16/12 - Representante das ciadades-sede da Copa participam da 9ª Reunião Temática da Transparência
- 15/12 - Haja participa, nos EUA, do debate sobre transparência e integridade na América Latina e no Cana
A CGU


Paraolimpíadas
Visite o portal do Comitê Paraolímpico Brasileiro e conheça o movimento paraolímpico do Brasil: www.cp.org.br

Destaque
- Confira informações sobre valores, pagamentos e transparência em Jogos RIO 2016.
- Governo cria uma nova plataforma para controlar os recursos públicos.

PORTAL DA COPA

Copa 2014
A CGU na Copa
O site Copa 2014 - Transparência em 1º lugar é uma iniciativa da Controle e Transparência, que visa garantir transparência em relação aos recursos públicos destinados à realização da Copa 2014. O site contém informações sobre ações, decisões e transparência em todas as cidades-sede.

Responsabilidades
A Ática de Responsabilidades é o documento que apresenta os valores e ações que serão investidos na Copa do Mundo de Futebol em 2014. Ela define as responsabilidades dos governos federal, estaduais e municipais, bem como de agentes privados, na liberação de recursos e na execução das ações.

Investimentos não vinculados às cidades-sede
A Ática de Responsabilidades também detalha os investimentos não vinculados às cidades-sede, incluindo cultura, desporto e turismo.

Estádio Mané Garrincha - Brasília
Segundo o Governo do Distrito Federal, o projeto de reforma do estádio Mané Garrincha prevê a cobertura em estrutura metálica, reforma das arquibancadas e a substituição da pista de atletismo, rebaixamento do gramado em quatro metros e estacionamentos no subsolo.

Além do estádio, o projeto prevê a ampliação do perímetro da arena para acomodação de 2.000 espectadores.

Destaque
- 7ª Reunião da Câmara Temática da Transparência
- 6ª Reunião da Câmara Temática da Transparência
- 5ª Reunião da Câmara Temática da Transparência
“EAGLE-EYE ON THE PUBLIC MONEY”

What it is

A program that provides training and education - for citizens, public officials, teachers, local counselors and others – on how to control public spending.

- courses
- handbooks
- e-learning

How it helps

Increase social capability to provide oversight on public spending, improving their confidence and the country’s capability to oversee public funds transferred to local governments.

Over the last 8 years CGU has trained around 58000 people and distributed more than 2.4 million copies of the program’s handbook.
The Brazilian Access to Information Laws allows citizens to obtain any kind of information from government agencies (except for those that were classified by criteria defined by law). That access is made directly in the agencies or through the Internet, using a system called e-SIC.

The implementation of the Law within the Federal government was led by CGU, which trained and provided support for agencies, informed citizens and developed a digital platform for requests.

The implementation allows citizens to have information on any subject and understand Government’s decisions.

The digital platform (e-SIC) allowed implementation with lower costs, easy access for citizens and better management of requests, appeals and reports.

Over 10 000 requests in the first month (Ministry of Justice: 607)
TRANSPARENCY COUNCIL

What it is

A Council formed equally by members of government and society. It suggests ways to improve social control, transparency, better management and measures to prevent and fight corruption.

How it helps

It brings together civil society and government towards the same common goal, sharing ideas to achieve the goals.

Forum for discussion of policies and bills of law.
CONSOCIAL - NATIONAL CONFERENCE

What it is
A Conference that invited the Brazilian society to discuss ideas and solutions on how to improve transparency and social participation.

How it helps
Gets people involved, brings “outside of the box” ideas, shares responsibilities.
Involving public managers and civil servants
## PUBLIC SPENDING OBSERVATORY

### What it is

Data matching and tracking system that combines technical expertise, scientific method and technology.

A track is defined and processed with government databases. Outliers or “strange” behaviors are noticed and pointed by machine.

- Produces useful information to public managers (dashboard)
- Detect suspicious and irregular behaviors

### How it helps

- Offer tools for managers to detect outliers in their spending and possible focus of irregular activities;
- Allows the detection of hoaxes, misuses of public money or other potential problems.
Example of tracks

- Business connection between bidders
- Relationship between bidders and public servants
- Fractioning for purposes of bid waiver
- Over average spending on a particular purchase
- Fractioning to avoid more complex bidding modalities
- More than one "exclusive" supplier
- Bidders with the same address
- Newly formed companies participating in the bid
COOPERATION WITH PUBLIC AGENCIES

What it is

Multiple operations that CGU develop in cooperation with many agencies, such as:

• Federal Police
• Prosecutor’s Office
• Attorney General Office

How it helps

These operations help the process of finding and acting upon corruption cases run much more efficiently, and enhances public confidence

- More than 2400 proceedings were started by the Prosecutor’s office based on CGU inspections;
- 440 lawsuits of the Attorney General Office were based on reports
- In 2011 alone, CGU worked with the Federal Police in more than 500 investigations.
WORLD CUP’S TRANSPARENCY CHAMBER

What it is
A work group that unites local governments, civil society and the Federal government to discuss priorities and solutions to bring transparency to World Cup 2014 related actions.

How it helps
Creates standards on what should be publicized and how.

Technical aid among participants.
Administrative Sanctions Policy

**What it is**

CGU has trained and provided guidelines to government agencies so they can run agile process for expulsion of civil servants from government agents through administrative process.

**How it helps**

Administrative penalties for misconducts in the public service run much faster than the standard judiciary process.

Expelling civil servants helps both by stopping ongoing schemes and by improving public confidence that there is punishment for misconduct.

More than 3,500 expulsion penalties were enforced since 2003.
TRAINING

Areas of training

• Social Control
• Access to information
• Ethics and integrity
• Management of public resources
• Citizenship
• ...

Involving the private sector
NATIONAL DEBARMENT LISTS: CEIS & CEPIM

What it is

A list of organizations – companies and non-profit private entities – that were punished by the government.

• Mandatory reference for all federal government bodies: may not do business with sanctioned organizations
• Reference for all governmental bodies

How it helps

Blocks new business between government agencies and those organizations

Allows all government agencies to track organizations who were involved in corruption

Allows the private sector and citizens to know those organizations

Public access via Internet
2,341 barred companies

2,128 temporary suspended companies

183 non-profit organizations barred
CGU-ETHOS INSTITUTE PARTNERSHIP

What it is

Reinforces dialogue between the public and private sector;

• Stimulating and putting into force initiatives to strengthen good practices of corporative governance.
• Promoting ethics and integrity in the relationship of public and private sectors.
• Creates forum for discussion.
• Fair Play project

How it helps

The partnership with a respected organization with voice within the private sector helps CGU get its message through with the right language and for the right people.

Improves awareness on integrity measures importance and how to make them efficient.

Improves awareness on damages caused by corruption in the private sector.
PRO-ETHICS COMPANY REGISTRY

What it is

A registry that identifies companies with high-standards of integrity by assessing different thematic areas:

• Ethics and conduct measures
• Internal control
• Relationship with the public sector
• Capacity building and training
• Transparency
• Collective Actions

Committee formed by government and the private sector

How it helps

Gives visibility to companies engaged in the establishment of a culture of ethics, integrity and confidence in business relations, including those involving the public sector.

Gives companies insight on what they can do to improve their integrity.

Improves confidence on both consumers and employees.
www.cgu.gov.br/empresaproetica

O Cadastro Empresa Pró-Etica

O Cadastro Nacional de Empresas Comprometidas com a Ética e a Integridade

- Cadastro Empresa Pró-Etica, é uma iniciativa da Controladoria-Geral da União e do Instituto Ethos que avalia e divulga as empresas voluntariamente engajadas na construção de um ambiente de integridade e confiança nas relações comerciais, inclusive naquelas que envolvem o setor público.

O Cadastro dará visibilidade às empresas que compartilharam a ideia de que a corrupção não é um problema que deve ser prevenido e combatido apenas pelo governo, mas também pelo setor privado e pela sociedade. Ao se cadastrar, a organização assume o compromisso público e voluntário, perante o governo e a sociedade, de que adota medidas para prevenir e combater a corrupção dentro de sua instituição, em favor da ética nos negócios.

Saiba mais

Como Aderir ao Cadastro Empresa Pró-Etica

1. Preencha e faça o envio online do Formulário Eletrônico de Solicitação de Adesão
2. Após recebimento de login e senha, entre no site do Cadastro e clique em Acessar Plataforma
3. Na plataforma, responda o Questionário Avaliativo
4. Após o preenchimento total, submeta seu questionário
5. Aguarde aprovação do Comitê Gestor
6. Assine o Termo de Compromisso com a Ética e a Integridade e passe a ser integrante do Cadastro Empresa Pró-Etica
Involving the international community
## BE PART OF INTERNATIONAL EFFORTS
### UNCAC, OAS

### What it is
International efforts gives us standards and starting points to achieve anti-corruption goals as well as ideas to improve our anti-corruption initiatives.

### How it helps
- Helps develop local and international confidence.
- Helps with learning process and developing new initiatives.
- Helps push efforts for legal improvement.
- Creates benchmarks for efficient action.
OPEN GOVERNMENT PARTNERSHIP - OGP

What it is

The Open Government Partnership is an initiative that aims to make governments more efficient, more transparent and more accountable.

It is a multilateral initiative from countries that welcomes civil society discussions to pursue individual action plans to improve each country’s government.

How it helps

Helps develop a plan of action for each country based on its needs rather than universal standards.

Provides expertise interchange through a Networking Mechanism.

Raises society trust by getting them involved.
Thank you!

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OFFICE OF THE COMPTROLLER GENERAL

www.cgu.gov.br/english